

SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL	
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>Stream William Gray</u> (Last) (First) (Middle) 2417 SHELL BEACH DRIVE (Street) LAKE CHARLES LA 70601 (City) (State) (Zip)	2. Issuer Name and Ticker or Trading Symbol <u>CKX LANDS, INC. [CKX]</u>	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input checked="" type="checkbox"/> Director 10% Owner Officer (give title below) Other (specify below)
	3. Date of Earliest Transaction (Month/Day/Year) 09/03/2019	
		6. Individual or Joint/Group Filing (Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	09/03/2019		P		7	A	\$10.31	30,062	I	By Stream Family Limited Partnership ⁽¹⁾
Common Stock	09/03/2019		P		7	A	\$10.34	30,069	I	By Stream Family Limited Partnership ⁽¹⁾
Common Stock	09/03/2019		P		186	A	\$10.35	30,255	I	By Stream Family Limited Partnership ⁽¹⁾
Common Stock	09/03/2019		P		300	A	\$10.33	30,555	I	By Stream Family Limited Partnership ⁽¹⁾
Common Stock	09/03/2019		P		200	A	\$10.34	30,755	I	By Stream Family Limited Partnership ⁽¹⁾
Common Stock	09/03/2019		P		800	A	\$10.35	31,555	I	By Stream Family Limited Partnership ⁽¹⁾
Common Stock	09/03/2019		P		186	A	\$10.34	31,741	I	By Stream Family Limited Partnership ⁽¹⁾
Common Stock	09/03/2019		P		1,314	A	\$10.35	33,055	I	By Stream Family Limited Partnership ⁽¹⁾

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Deemed Execution Date, if any (Month/Day/Year)	5. Number of Securities		6. Date Exercisable and Expiration Date		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A)	(D)	Date Exercisable	Expiration Date					
Explanation of Responses: 1. The Reporting Person disclaims ownership of the reported securities owned by Stream Family Limited Partnership, except to the extent of his pecuniary interest therein.												
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	/s/ William Gray Stream	09/03/2019	

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.